



**SECRETARIAL COMPLIANCE REPORT OF CONTROL PRINT LIMITED FOR THE YEAR ENDED
31ST MARCH, 2019**

[Pursuant to SEBI Circular CIR/CFD/CMD1/27/2019 dated February, 08, 2019]

I have examined:

- (a) all the documents and records made available to us and explanation provided by **Control Print Limited** (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,
for the year ended 31st March, 2019 (“Review Period”) in respect of compliance with the provisions of:
 - (a) The Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
 - (b) The Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) The Securities and Exchange Board of India (Registrar to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client.
- (e) Securities and Exchange Board of India SEBI (Depositories and Participants) Regulations, 2018.

As per the explanations given to me in the representations made by the management and relied upon by me, during the period under review, provisions of the following regulations were not applicable to the Company:

- (a) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (b) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (c) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (f) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;



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B.Com, LL.B(Gen), ACS,
Practicing Company Secretary

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Bhayander (East) Thane-401105

(d) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;

and based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirements (Regulations / circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	Regulation 40 (3) of SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015	Share certificate(s) were issued after fifteen days from the date of lodgment of Transfer/Name Deletion	Delivery of share certificate(s) in respect of 27 request relating to Transfer/Name Deletion received during the period from 1 st October, 2018 to 31 st March, 2019 as entered in the Memorandum of Transfers had been issued after fifteen days from the date of lodgment.
2	SEBI Circular 20.04.2018: Strengthening the Guidelines and Raising Industry Standards for RTA, Issuer Companies and Bankers to Issue	yet to comply	RTA and Company yet to comply with the requirements as stated in the SEBI Circular dated 20.04. 2018.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Action taken by	Details of violation	Details of action taken E.g. fines, warning letters, debarment etc.	Observations/ remarks of the Practicing Company Secretary, if any.
None				



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(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observation of the Practising Company Secretary in the previous reports	Observations made in thesecretarial compliance report for the year ended 31.03.2018	Actions taken by the listed entity, if any.	Comments of the Practising Company Secretary on the actions taken by the listed entity.
Not Applicable*				

* This being the first reporting since the notification of the requirements to submit the report, reporting on actions to comply with the observations made in the previous reports do not arise

CS Nikuj Kiri
Practising Company Secretary
ACS: 30788 CP: 13964

Date: _____

Place: Bhyander

Note: This report is subject to pending requirement and discussion on observations.